

Bournehill Investment Services, Inc.
Client Fee Schedule (as of May 23, 2022)

Description	Client Fee	Frequency
Reorg / Dividends / Transfers		
ACAT / Non-ACAT (full or partial) Transfer Out * Plus free delivery charge for each position	\$ 100.00	Per account
Free Deliveries DTC	\$ 35.00	Per security
Mandatory Reorg Corporate Actions	\$ 35.00	Per account
Voluntary Tender / Exchange Offer / Bond Puts	\$ 45.00	Per election
Axos Clearing-Assisted Dividend Postings (Asset not held at Axos Clearing)	\$ 20.00	Per item
Cash Dividend Outgoing Check	\$ 10.00	Per check
Check Processing		
Stop Payments	\$ 50.00	Per stop
Returned Checks	\$ 50.00	Per check
Photocopies of Checks	\$ 5.00	Per check
Prepayment of Unsettled Funds	\$ 50.00	Per item, plus interest
Check Writing Stop Pays	\$ 25.00	Per check
Returned ACH Items	\$ 50.00	Per return
Qualified Plan Accounts (IRA, 401(K), SEP, etc.)		
IRA Annual Custodial Fee	\$ 75.00	Per plan
Transfers (including transfer of ROTH to/from Traditional IRA)	\$ 100.00	Per transfer
Termination Fee	\$ 75.00	Per return
DWAC		
DWAC Charge	\$ 100.00	Per item
DWAC Reject Charges	At cost	At cost
Alternative Investments		
Alternative Investment Reconciliation	\$ 150.00	Per year (charged quarterly)
Re-registration of Stock or Alternative Investment	\$ 75.00	Per re-registration, plus cost
Transfer on Death (TOD) Registration		
TOD Application Fee	\$ 90.00	Per account setup
TOD Change / Revocation	\$ 90.00	Per account change
TOD Distributions	\$ 250.00	Per distribution
Securities Processing		
Long Positions (sub-penny, book entry)	\$0.007	Per 10,000 shares, monthly
Clearance or Transfer of Restricted Stock	\$ 200.00	Per item, plus cost
Physical Security Processing		
Certificate Request – DTC	\$ 1,100.00	Per certificate
Rush Withdrawal – DTC	\$ 180.00	Additional
Physical Settlements	\$ 125.00	Per settlement, plus cost
Rejected Deposits – DTC or Agent	\$ 225.00	Per certificate
NY Window / Physical Delivery	\$ 75.00	Per security
Transfer Agent Charges	As incurred	As incurred, plus any mark-up
Wire Transfers		
Domestic	\$ 45.00	Per wire
International	\$ 100.00	Per wire
Research Requests / Service Messages	\$ 75.00	Per request
Overnight Delivery (UPS)		
Domestic	\$ 75.00	Per delivery
International	\$ 150.00	Per delivery (other fees may apply)
Foreign Securities		

ADR Fee	\$0.005	Per item, plus transaction cost
Transfer In / Out – Physical Certificate (Foreign)	\$ 200.00	Per certificate, plus cost
Physical Certificate Request	\$ 1,100.00	Per certificate, plus cost
Foreign Currency Conversions	\$ 75.00	Per conversion
Foreign Currency Transaction Postings	\$ 40.00	Per posting
Other Fees		
Worthless Security Processing	\$ 50.00	Per security
Manual Option Exercise Request	\$ 35.00	Per request
Cashless Stock Options	\$ 50.00	Per request, plus 1% of proceeds, plus cost
Trades Settled Same Day (Cash Trades)	\$ 30.00	Per trade
Bond Redemption / Conversion (Physical Only)	\$ 75.00	Per item, plus cost
Reg-T Extensions	\$ 45.00	Per extension
Vault Services	\$ 80.00	Per year
CCPA Check Writing and Platinum Card	\$ 80.00	Per year

In addition, Bournehill Investment Services, Inc. charges an Additional Fixed Commission (“AFC”) on each trade, in the amount of \$45.00. The AFC covers certain transactional service and handling fees, which the customer is responsible for. For more information on these fees, please speak with your representative, or contact the main office at 516-744-2124.